IFW 376.



Case Docket No. MVMDINC.001CP1

Date: October 6, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

van der Burg, et al.

Appl. No.

09/435,562

Filed

November 8, 1999

For

METHOD AND DEVICE FOR

LEFT ATRIAL APPENDAGE

OCCLUSION

Examiner

Glenn K. Dawson

Group Art Unit:

3761

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 6, 2004

Sabing H. Lee, Reg. No. 43,745

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with 14 references. (3 enclosed)
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Sabing H. Les

Registration No. 43,745

Attorney of Record

Customer No. 20,995

(949) 760-0404

OCT 1 2 2004 Applicant

INFORMATION DISCLOSURE STATEMENT

van der Burg, et al.

App. No.

09/435,562

Filed

November 8, 1999

For

METHOD AND DEVICE FOR LEFT

ATRIAL APPENDAGE OCCLUSION

Examiner

Glenn K. Dawson

Group Art Unit

3761

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 14 references. A CPA was filed in this case on July 2, 2003. Therefore, copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be

(949) 760-0404

required or to credit any overpayment t	O Account No. 11-1410.
_10/13/2004 FFRNAIA2.00000007.09435562	
01 FC:1806	Respectfully submitted,
Dated:()-6 01	KNOBBE, MARTENS, OLSON & BEAR, LLP By:
	Sabing H. Lee Registration No. 43,745 Attorney of Record Customer No. 20,995

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	FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVMDINC.001CP1	APPLICATION NO. 09/435,562	
3E	JC9RFQRMATION D	DISCLOSURE STATEMENT			
	(USE SEVERAL SHEETS IF NECESSARY)	APPLICANT van der Burg, et al.			
14		FILING DATE November 8, 1999	GROUP 3761		

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
_	1	5,071,407	12/10/91	Termin, et al.			·
	2	5,350,398	9/27/94	Pavcnik, et al.			
-	3	5,749,880	5/12/98	Banas, et al.			
	4	5,961,545	10/5/99	Lentz, et al.			
	5	6,007,557	12/28/99	Ambrisco, et al.			
	6	6,096,053	8/1/2000	Bates			
	7	6,231,561	5/15/01	Frazier, et al.			
	8	6,328,727	12/11/01	Frazier, et al.			
	9	6,419,669	7/16/02	Frazier, et al.			
	10	US 2002/0138094 A1	9/26/02	Borillo, et al.			
	11	US 2002/0138097A1	9/26/02	Ostrovsky, et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
	\vdash		<u> </u>				YES	NO
	12	WO 95/22359	8/24/95	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
	13 International Search Report and Written Opinion from PCT/US2004/008109 with a mailing date of August, 3, 2004					
	Specifications, Claims, and Figures from US Application No. 10/802,395 filed March 17, 2004, examiner presently unknown. (Atty reference MVMDINC.001CP4)					
	15					
	16					
	17					

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EXAMINER	DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.